

## Joint Customers Application

### Who is this application form for?

- ✓ Direct Investment for Joint customers
- ✓ Cash ISA investments for Individual customers
- ✗ Direct Investment on behalf of a child under 18
- ✗ Direct Investment for Individual customers
- ✗ Stocks & Shares ISA Investments
- ✗ ISA Transfers
- ✗ Pensions (SIPP or SSAS)
- ✗ Trusts/Charities
- ✗ Companies/Partnerships

### How are you applying?

Tick all that apply.

**I am an existing customer**

Complete Sections A, C, D, E, F, G

**I am a new customer**

Complete Sections B, C, D, E, F, G

**I am applying execution-only via a professional financial adviser**

You should answer all questions in Section E

Your financial adviser should complete Section F

### How will you send your funds?

Tick all that apply. Please ensure your application form and funds get to Meteor by the deadlines.

**Bank Transfer (Preferred)**

Meteor Investment Management Limited Client Account

HSBC Bank plc

Sort Code: 40-05-30

Account Number: 13692752

IBAN: GB21MIDL40053013692752

Reference: Your full name and/or Racing Red Investment Services account number

**Cheque**

Payable to 'Meteor Investment Management Limited Client Account'

Meteor strongly discourages payment by cheque as it can increase the risk of your application being delayed and incurring additional charges.

You may be charged a fee of £40 +VAT for unpaid cheques.

**Reinvestment of a matured plan**

Your funds are already with Meteor

**ISA Transfer**

Complete the ISA Transfer Request form and send it with your application.

We will instruct your current ISA Manager to transfer funds directly to us.

### Additional Information

Please provide any further information that may be useful for this application. This may include more complex fee structures, payment methods, power of attorney (POA document required) etc.

# UK 6Y Annual Step Down to 85 Kick Out Deposit (Y2)

April 2026 | HS10452 | HSBC\_27470601



<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>	<b>G</b>
Existing Customers	Customer Details	Bank Details	Investment	Financial Understanding	Financial Adviser Details	Customer Declaration

## Joint Customers Application

### Section A | Existing Customers

Complete this section if you are existing customers and your personal details haven't changed.

- |                           |           |                 |                             |
|---------------------------|-----------|-----------------|-----------------------------|
| 1 Existing Account Number | 1 Surname | 1 Date of Birth | 1 National Insurance Number |
| 2 Existing Account Number | 2 Surname | 2 Date of Birth | 2 National Insurance Number |

#### Important Information

- ▶ Meteor may need to contact you for more information if they are unable to verify your application.
- ▶ If any of your personal information has changed, please enter the new information in Section B. Otherwise, continue to Section C.

### Section B | Customer Details

#### 1 First Customer

New customers must complete this section in full.

Title	Forename(s)	Surname	Date of Birth			
National Insurance Number	Country of Birth	Nationality	Telephone/Mobile	Telephone Password		
Email	UK Tax Resident? Yes	US Citizen? Yes <i>US citizens will be rejected</i>	Non-UK Tax Resident? <i>Country</i>	<i>Tax Identification Number (TIN)</i>		
Employment status	Employed	Self-employed	Retired	Student	Homemaker	Unemployed

If employed or self-employed, provide current employment. If retired, provide previous employment.

*Occupation* *Industry*

#### 2 Second Customer

New customers must complete this section in full.

Title	Forename(s)	Surname	Date of Birth			
National Insurance Number	Country of Birth	Nationality	Telephone/Mobile	Telephone Password		
Email	UK Tax Resident? Yes	US Citizen? Yes <i>US citizens will be rejected</i>	Non-UK Tax Resident? <i>Country</i>	<i>Tax Identification Number (TIN)</i>		
Employment status	Employed	Self-employed	Retired	Student	Homemaker	Unemployed

If employed or self-employed, provide current employment. If retired, provide previous employment.

*Occupation* *Industry*

#### 1 2 Both Customers

##### Permanent Address

<i>Street Address</i>	<i>Address Line 2</i>	
<i>Town/City</i>	<i>County</i>	<i>Postcode</i>

#### Important Information

- ▶ For investing on behalf of a child under 18, ISA transfers, or direct investments as an individual, please use the Individual Customer application form.

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## Joint Customers Application

### Section C | Bank Details

Required for income/interest plans or withdrawing money when a plan ends.

Bank/Building Society  Account Holder

Sort Code  Account Number  Reference/Roll Number

#### Important Information

▶ Bank details must be in your own name.

### Section D | Investment

You are applying for the **UK 6Y Annual Step Down to 85 Kick Out Deposit (Y2) April 2026 | HS10452**. See front page for payment details and deadlines.

Please indicate the total amounts to be sent for this application. Complete all that apply.

£  via Bank Transfer  + £  via Cheque  + £  via Reinvestment  = £  Total to be funded

Where do the funds for this investment originate from?

Savings  Property sale  Pension  Transfer  Employment  Inheritance  Other

If using a financial adviser, please state how adviser charges should be deducted. Select One:

Deduct as stated below  Deducted separately (for info only)  No adviser charges deducted

### New and Existing Customer | New Investments

Complete all fields that apply. Minimum investment £5,000. Adviser Charges will be deducted from the gross amounts below.

#### 1 & 2 Joint Investment

£  Amount  - £  Adviser charge to deduct  or  % = £  Investment

#### 1 First Customer | 2025/26 New ISA

£  Amount - Max £20,000  - £  Adviser charge to deduct  or  % = £  Investment

#### 2 Second Customer | 2025/26 New ISA

£  Amount - Max £20,000  - £  Adviser charge to deduct  or  % = £  Investment

#### 1 First Customer | 2026/27 New ISA

£  Amount - Max £20,000  - £  Adviser charge to deduct  or  % = £  Investment

#### 2 Second Customer | 2026/27 New ISA

£  Amount - Max £20,000  - £  Adviser charge to deduct  or  % = £  Investment

### Existing Customer | Maturity Options

For existing customers wishing to make a decision on a recently matured plan.

#### Maturing Account

Account Number  Estimated Maturity Proceeds

#### Reinvestment

£  Amount  - £  Adviser charge to deduct  or  % = £  Net Reinvestment  Retain  Pending instruction  Withdraw  To bank details provided

#### Important Information

▶ Adviser charges will be deducted from the gross amounts that we receive. For complicated charges please inform us using the Additional Information field on the front page.

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## Joint Customers Application

### Section E | Financial Understanding

#### 1 2 Both Customers

All customers over 18 must answer the following questions.

To help us maximise the likelihood of you investing in something that meets your investment objectives, it is essential that you appreciate how the plan works and the risks involved. The following questions are designed to assess whether you are now able to make an informed investment decision after having read the relevant documentation.

If your answers suggest that this is not the case, we may request that you speak to your professional financial adviser for clarification or seek one if you have not obtained advice already. All questions are required to be answered.

YES NO

Do you have any financial industry experience?

Have you invested in structured products and/or deposits within the past 5 years?

Have you previously invested in any structured products and/or deposits similar to this plan?

Do you feel that you have sufficient understanding of structured products and/or deposits?

Do you feel that you have sufficient understanding of the specific plan that you are investing into?

Do you understand how Market Risk potentially impacts this plan?

Do you understand that the performance of financial markets impacts how much and when this plan pays money?

Do you understand that the ongoing value of the plan can go up as well as down?

Do you understand how Counterparty Risk potentially impacts this plan?

Are you prepared to hold this investment for the full term of the plan?

Do you understand that if you decided to withdraw from the plan early, you could receive less than what you initially invested?

If you answered 'No' to any of the questions above, this plan may not be appropriate for you. Do you still want to proceed?

Yes, I understand the plan and the risks involved. I would like to proceed and I will strongly consider seeking financial advice, if applicable.

#### Additional Support

Are there any personal circumstances you would like to make us aware of to enable us to better support you?

Yes (Describe below)

No

*You may benefit from extra support*

*There is nothing to disclose*

If Yes, please describe your personal circumstances

#### Important Information

- ▶ Please note, Meteor does not provide tailored advice on customers' specific needs, or if they fall within the target market.
- ▶ If you are investing via a professional financial adviser, they are required to complete Section F.
- ▶ If you are not investing via a professional financial adviser, continue to Section G.

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## Joint Customers Application

### Section F | Financial Adviser Details

If no financial adviser is involved, you do not have to complete this section. Your financial adviser should complete this section.

#### Company Details

Firm Name

Branch (if applicable)

Financial Services Register Number

#### Did you provide professional financial advice in relation to this application?

Yes

No

*This is an advised sale and I have conducted a suitability assessment*

*This is a non-advised sale with appropriateness assessment only*

#### Have you assessed the customer(s) as falling within the Target Market for which the plan has been designed?

Yes

No (Describe below)

*They are within the Target Market*

*They are outside the Target Market*

If No, please describe below

#### Are there any personal circumstances we should be made aware of to enable us to better support the customer(s)?

Yes (Describe below)

No

*They may benefit from extra support*

*There is nothing to disclose*

If Yes, please describe below

#### In submitting this application on behalf of the customer(s), the financial adviser declares that:

- ▶ they have met the customer(s), face-to-face, and confirm based on review of their ID documents that they are the individual(s) stated in the application form.
- ▶ they confirm that they have carried out the appropriate identity checks on all parties, in line with the requirements set out in the money laundering regulations, relevant to this application and have retained copies of the completed Identity and address Verification documentation, which they understand Meteor may request at any time and may rely on.
- ▶ they have seen all original documents and those requiring a signature have been signed.
- ▶ they acknowledge that we may request and rely upon this information, and they agree that any request will be fulfilled within two days.
- ▶ they acknowledge that, where we consider we have not been able to satisfy all necessary obligations, we may decide not to proceed with the application.
- ▶ they acknowledge their responsibility to evaluate all available information on the plan and confirm that where they have given advice, they have the necessary knowledge and experience to be deemed competent to the circumstances and investment objectives of the customer(s). Where advice was not given, they have assessed the plan to be appropriate for the customer(s) circumstances and investment objectives.
- ▶ they have provided the investor with the relevant plan documentation including the brochure and, where applicable, the Key Information Document, the Terms and Conditions and the Counterparty's Offering Documentation.
- ▶ they will inform Meteor of any material changes to the status of the customer(s) that could impact the product and service the customer(s) receive. This includes but is not limited to, changes to personal and contact details, customer categorisation, specific circumstances and citizenship.
- ▶ this application has been completed to the best of their knowledge and belief and they have agreed any adviser charge with the customer(s).
- ▶ they have taken action to understand any personal circumstances that may give rise to specific support required by the customer(s).
- ▶ consent has been given by the customer(s) to share any sensitive information that has been provided.

#### By signing below, the Financial Adviser agrees to the declarations above

Financial Adviser

Full Name

Email

Signed

Date

#### Important Information

- ▶ To enable us to comply with money laundering and terrorist financing regulations, we need to verify the identity of customers.
- ▶ Please note, investment advice is required for some of our plans. Please refer to the plan documentation for more information.
- ▶ A signed Terms of Business agreement is required to facilitate adviser charges.
- ▶ Adviser charges will be deducted from the gross total of funds received. Any other arrangements should be outlined in Additional Information.

